

INGOT BROKERS (AUSTRALIA) PTY LTD

## Joint Account Application



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Level 21, 60 Margaret Street, Sydney, NSW, 2000

## ACCOUNT HOLDER 1

Client Name:

Gender:  Male  Female

Date of Birth:  Citizenship:

Passport, ID card or Licence:  Mobile Phone:

Other Phone:  Email:

Address: Street, number,  
City, State, P.O. Box

## ACCOUNT HOLDER 2

Client Name:

Gender:  Male  Female

Date of Birth:  Citizenship:

Passport, ID card or Licence:  Mobile Phone:

Other Phone:  Email:

Address: Street, number,  
City, State, P.O. Box

## FINANCIAL DECLARATION

Bank Name:

Swift / BSB Code:

Bank Address:

Account Name:

Account Number:

IBAN:

### Total Estimated Income:

- Under 25,000  
 25,000 – 49,000  
 50,000 – 99,000

### Risk Tolerance:

- Conservative  
 Average  
 Aggressive

## REFERAL

How did you hear about INGOT Brokers?

- Web Search    Online Ad    Friend referral    Print Ad    Other  
 Introducing Broker   Name:..... Number:.....

\*Select the Platform you prefer

## Platform

- MT4    MT5    GTN    Others

\*Select the Spread Features you prefer

### Spread Features

- ECN                       Professional                       Prime

### Client Eligibility

INGOT Brokers (Australia) Pty Ltd adheres to strict guidelines set out by ASIC in Regulatory Guide 227 (RG227). With best-practice guidance, we need to ascertain that new clients meet a certain level of experience and knowledge in trading Over-the-Counter (OTC) derivatives.

Before opening a live OTC derivatives trading account with INGOT Brokers (Australia) Pty Ltd, we are required to ensure prospective clients understand the complex nature of derivatives trading and the trading platforms used to trade them. Clients should read the legal documents carefully and be aware that trading derivatives is very risky may not be suitable for all investors; please ensure that you fully understand the risks involved.

#### Question 1

What does CFD stand for?

- Commission Futures Deduction.                       Contract for Difference.

#### Question 2

Positions will automatically be closed at market price if I do not have enough margin (money) to cover the losses of my trades.

- True.                       False.

### Question 3

What is NOT an appropriate research tool for Over - the - Counter (OTC) derivatives such as CFDs, FX and Commodities traders?

- Wall Street Journal.  Cosmopolitan.

### Question 4

What is Risk Management?

- Minimizing, monitor and controlling the probability and/or impact of unforeseen events.  
 Adding more systematic risk and non-systematic risk on your company.

### Question 5

Which of the following statements is NOT true about derivatives?

- The price of a derivative is set and controlled by ASIC.  
 The price of a derivative is derived from an underlying reference instrument.

**Compensation Acknowledgement**

NOTICE: The purpose of this document is for you, the clients, to advise INGOT Brokers (Australia) Pty Ltd as to the compensation you have agreed to pay your designated Introducing Broker, if any. Please be advised that INGOT Brokers (Australia) Pty Ltd is not responsible for calculating incentive fees or management fees and will assume the calculations provided to it by the Introducing Broker to be correct. Please also note that if your IB has requested INGOT Brokers (Australia) Pty Ltd to mark up the spread (widen the spread on the prices) being streamed to you, that INGOT Brokers (Australia) Pty Ltd does not participate in the additional pip(s) being added to the pricing and that the mark-up will not be reflected on your trading statement as a separate line item.

We, the undersigned clients, hereby agree to, authorize and acknowledge that the said fee(s) will be charged to our account and paid to the Introducing Broker.

**Declaration**

We confirm by signing or submitting electronically the Application, we have had access to, reviewed and understand the full set of INGOT Brokers (Australia) Pty Ltd legal documents including this Client Agreement, PDS and FSG. We understand the risks of electronic Foreign Exchange margin trading and the terms we deal with INGOT Brokers (Australia) Pty Ltd and ourselves. We declare the above information in this Client Agreement is true and correct. We declare that we have read and understood the Compensation Acknowledgement.

Applicant signatures:

1.

2.

Applicant printed names: 1.....

2.....

Date:.....

**Compensation Acknowledgement**

NOTICE: The purpose of this document is for you, the clients, to advise INGOT BROKERS AUSTRALIA PTY LTD as to the compensation you have agreed to pay your designated Introducing Broker, if any. Please be advised that INGOT BROKERS AUSTRALIA PTY LTD is not responsible for calculating incentive fees or management fees and will assume the calculations provided to it by the Introducing Broker to be correct. Please also note that if your IB has requested INGOT BROKERS AUSTRALIA PTY LTD to mark up the spread (widen the spread on the prices) being streamed to you, that INGOT BROKERS AUSTRALIA PTY LTD does not participate in the additional pip(s) being added to the pricing and that the mark-up will not be reflected on your trading statement as a separate line item.

We, the undersigned clients, hereby agree to, authorize and acknowledge that the following fee(s) will be charged to our account and paid to the introducing broker.

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Applicant signatures:

1.

2.

Applicant printed names: 1.....

2.....

Date:.....

**On Behalf of the INGOT Brokers:**

Name:..... Date :.....

Signature:.....

