

## Sophisticated Investor/Wholesale Client Acknowledgement

By signing this Sophisticated Investor/Wholesale Client Acknowledgement, I agree that I have requested from INGOT Brokers (Australia) Pty Ltd. (“INGOT Brokers”) to consider my experience for the purposes of becoming a Sophisticated Investor/Wholesale Client as defined under s761GA Corporations Act 2001 (Cth) (‘the Act’) and others.

I certify that I do genuinely believe I have the experience and knowledge required to fully comprehend the risks and product features associated with leveraged financial products (CFD and FX trading). These comprise of the following:

- I possess the experience and capacity to take investment decisions without the benefit of regulated disclosure documents issued to Retail Clients in accordance with the Act.
- I have full understanding of the legal and financial implications of being a Sophisticated Investor/Wholesale Client.
- I understand that certain protections granted to Retail Clients will not apply to me when being classified as a Sophisticated Investor/Wholesale Client and I am still willing to be considered as a Sophisticated Investor/Wholesale Client.
- I certify that I will not be acquiring financial products with INGOT Brokers in connection with a business.
- I understand that at its absolute discretion, INGOT Brokers may withdraw my status as a Sophisticated Investor/Wholesale Client, and treat me as a Retail Client, at any time.

I acknowledge that as a Sophisticated Investor/Wholesale Client:

- INGOT Brokers does not have an obligation to provide me with a Product Disclosure Statement (PDS), Financial Services Guide (FSG) or any other document that is required to be provided to a Retail Client. Where these documents have already been provided, I understand that those documents will no longer apply to me.
- INGOT Brokers does not have any obligation to me under Chapter 7 of the Act as would be provided to me as Retail Client, unless specified otherwise in the Act.

I acknowledge that upon obtaining approval to be classified as INGOT Brokers Sophisticated Investor/Wholesale Client, I agree to be bound by:

- the Wholesale Client Information Disclosure; and
- the INGOT Brokers Terms and Conditions.

I understand that as of the date of my INGOT Brokers Sophisticated Investor/wholesale classification, any open positions I hold will be considered and treated as Sophisticated Investor/wholesale.

The Wholesale Client Information Disclosure shall prevail in case of discrepancies between its provisions and that of the Terms and Conditions.

I declare that all information I have provided to INGOT Brokers is complete, accurate and is not misleading in any way.

I am obliged to notify INGOT Brokers as soon as possible if my circumstances change in a manner that could impact my ability to meet the minimum standards set out by INGOT Brokers for INGOT Brokers Sophisticated Investor/Wholesale classification.

Full Printed Name: \_\_\_\_\_

Date: \_\_\_\_\_

Signature: