

## Stock, ETF's and Spot Indices Terms and Conditions

This document determines the terms and conditions of trading Stocks, ETF's and Spot Indices, and it is considered an addendum to the Terms and Conditions between INGOT Brokers (Australia) Pty Ltd. (INGOT Brokers) and the Client. Together with all the legal documents of INGOT Brokers, they form the totality of the agreement between us.

This statement clarifies all the terms and conditions for trading CFD's including but not limited to stocks, ETF's and Spot indices. INGOT Brokers' policy also indicates that we are not obliged, in any way, to inform or notify clients about any action we take. However, we will do our best to inform clients of any news related to INGOT Brokers' procedures on our website. As for any events that occur in the middle of the trading day, we will take the appropriate action as soon as the liquidity providers notify us.

### 1. Earnings Announcement

Shares may be placed at a "Close-only" state during the last hour of the trading session before the earnings announcement at our own discretion. If the announcement is unclear, the stock may be closed during the session until the earning announcement is clarified. Spreads can increase in these hours depending on market volatility. INGOT Brokers reserves the right to increase the spreads and the margin requirements before the earnings announcement, at its sole discretion and without previous notice.

### 2. Mergers and Acquisitions

In cases of mergers or acquisitions, INGOT Brokers will apply the needed action to reflect the Merger/Acquisition transaction as and when done by INGOT Brokers Liquidity Providers.

INGOT Brokers reserves the right to close all positions of the relevant instruments at the time of announcing the news, or during a session prior to the merger, or at the time INGOT Brokers is notified by INGOT Brokers Liquidity Providers.

### 3. Stock and ETF's Splits or Reassembly (Reverse Split)

If there is a declaration of a split or reverse split for a particular share or an ETF, INGOT Brokers will apply the needed action to reflect the Split/Reverse Split transaction as and when done by INGOT Brokers Liquidity Providers.

INGOT Brokers reserves the right to suspend the trading of this share and close any open positions before the determined time.

INGOT Brokers also reserves the right to apply any appropriate adjustments on the Client's position that will reflect the economic impact of the Split or Reverse Split action. Following the Split or Reverse Split, INGOT Brokers retains its right to determine the date at which the relevant share or ETF will be tradeable on INGOT Brokers Platform.

## 4. Dividend

### A. Stocks and ETFs Dividends

INGOT Brokers distributes dividends for stocks and ETFs after imposing fees and taxes. Dividends are distributed under the conditions below:

- i. **For Long Positions:** The Client must hold the stock for at least three days before the EX-dividend date to be eligible to attain the dividend amount. If the Client holds a long position, they will receive the dividend amount net of applicable taxes and fees.
- ii. **For Short Positions:** If the Client holds the stock for three days before the EX-dividend date, the Client will be obliged to pay the dividend amount. If the Client holds a short position, they will pay the dividend amount plus applicable taxes and fees. INGOT Brokers withdraws the dividend after deducting the taxes and fees for positions that were not closed before the end of the last trading session from EX dividend date, so if the Client closes the deal before the end of the last trading session, that is, before the dividend's due date, the dividends will not be withdrawn.

### B. Indices Dividends

- Clients holding long positions on the relevant spot index at the ex-dividend date will receive a certain percentage of the dividend that INGOT Brokers calculates and it will be deposited into their trading account.
- If the Client is holding short positions on the applicable spot index at the ex-dividend date, they will be charged a certain percentage of the dividend that INGOT Brokers calculates and it will be deducted from their trading account.

#### Notes:

- INGOT Brokers reserves the right to update the margin requirements before the release of a dividend.
- In the event of a share being de-listed, the Client's position will be closed at the last market price traded on a best effort basis.
- For Margin Calls on ETFs: Client will be informed directly when they reach the margin call. After 24 hours if the client does not reinforce his account and he is still in a margin call, the most losing positions will be closed in order to meet the margin call.
- For specific Corporate actions not explicitly mentioned in this document, INGOT Brokers reserve the right to:
  1. Suspend, reverse and/or stop trading in the relevant instrument.
  2. Close the positions if an instrument is no longer trading on the relevant exchange;
  3. Increase the margin requirements.
  4. Limit the maximum exposure (order size) to the relevant instrument.
  5. Take any other action as we deem appropriate in the given circumstances.